

## MÉLANGES OFFERTS À LOUIS BAZIN

par ses disciples, collègues et amis

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## THE HUNNIC (HSIUNG-NU) COUPLET IN CHIN-SHU

As is known in the circles of Turkic and Altaic studies, in Chin-shu, the Chinese history of the Chin dynasty, there occurs a Hunnic (Hsiung-nu) couplet or sentence mentioned in connection with an event which took place in Lo-yang in 329 A.D. The passage at the end of which the Hunnic text is given with an accompanying word-for-word Chinese translation was first published by Shiratori1. It reads as follows:

Der König Shi Lo stammte aus einer Familie der Hiung-nu Hoh. Als er einst im Begriff war, mit dem feindlichen König Liu Yao Krieg zu führen, warnten ihn alle seine Vasallen, indem sie behaupteten, dass der Krieg für ihn unglücklich enden würde. Shi Lo fragte den Priester Fo-t'u-teng, welcher im Jahre 310 n. Chr. aus Indien nach der damaligen Hauptstadt Chinas Lo-yang gekommen war und bei Shi Lo in grosser Achtung stand, wie man es mit dem Krieg halten solle. Da schwang der Priester eine ringformige Schelle und deutete ihren Klang:

## 僕谷 勃秃雷 秀支 替戾阁

siu-k'i t'i-li-kang puh-koh k'ū-t'u-tang

Dieses siu-k'i bedeutet auf hohisch "Armee", t'i-li-kang "ausziehen", puh-koh ist der Rang des Liu Yao und k'ū-t'u-tang bedeutet "gefangen nehmen". Aus dem Ganzen ergiebt sich also der folgende Sinn: Wenn man (in dem Krieg) auszicht, so kann man Liu Yao gefangen nchmen2.

Shiratori was the first scholar who attempted to interpret this short Hsiung-nu text in terms of Turkic. He identified siu-k'i as Old Turkic songūš "fight", t'i-li-kang as Chagatay tolgan- "to move around, circle", puh-koh as Old Turkic bödig "the throne (acc.)", kū- as Old Turkic kop "wholly, completely" and t'u-tang as Common Turkic tut- "to catch, seize".

It goes without saying that all these idenfications with the exception of t'u-tang = tut- are wrong.

Ramstedt who became interested in this Hsiung-nu text interpreted the individual words in it as follows':

1 siu-k'i = OT sūkā (sū "fighting army, fight", -kā dative suffix) ;

2 t'i-li-kang of t'ai-li-kang = tal'īqīň of tal'īgañ (= OT tašīqīň) \*go out!\*;

3 puh-koh = OT bilga "wise" used as the title of the rival leader) or ügü (an Old Turkic high rank);

 $4 k\bar{u}$  = OT -g (accusative suffix belonging to the previous word);

5 t'u-tang = the imperative form of Turkic tut- "to seize, capture".

Under the light of these identifications, Ramstedt's interpretation of the Hunnic couplet can be given as follows:

sükä tal'īgiň (or tal'īgaň) "zieh aus zum Krieg" und bügüg (or ügäg) tutañ "fange den Bügü"

Ramstedt's interpretation of the second word of this Hunnic text as the imperative form

K. Shiratori, "Über die Sprache der Hiungnu und der Tunghu-Stämme", Izvestija imperatorskoj akademii nauk, T. XVII, N° 2 (1902), 01-032.

K. Shiratori, op. cit., pp. 6-7.

G. J. Ramstedt, "Zur Frage nach der Stellung des tschuwassischen", ISFOn, XXXVIII. 1 (1922). p. 31.

of \*tal'tq-, i.e., the older form of OT tasiq- \*to go out\*, is a very important discovery. According to him, the Ho language, i.e., the Hunnic dialect in which this couplet had been uttered was very close to Old Turkic, but obviously it was an /1/language like present-day Chuvash. Ramstedt who was understandably happy to find an older form with /1/ of OT tasiq- in this text dating from the first half of the 4th century pointed out that the time gap between Hunnic \*taliq- and Old Turkic tasiq- was long enough for the occurrence of the sound change \*1' > 3 he assumed for Turkic.

The first attempt to interpret the Hunnic text after the appearance of Karlgren's dictionary was made by the well-known French Turkologist Louis Bazin. Bazin first gave the ancient pronunciations of the 10 Chinese characters transcribing the Hunnic couplet. They read:

siôg tiếg t iei liệd kằng b'uok kuk g'ịu t'uk tầng

Bazin interpreted this text as follows:

süg tägti îdqañ "envoyez l'armée à l'attaque, boquyîy tutqañ capturez le commandant !"

As is seen, Bazin's interpretation is quite different from that of Ramstedt's. According to Bazin:

- I the first sign represents \*sag, i.e., a word which is identical with the accusative form of Old Turkic sa \*army\*;
- 2 the second and third signs stand for \*tägti
  \*attack\*, a hypothetical deverbal noun in -ti derived from OT täg- \*to attack\*;
- 3 the fourth and fifth signs should be read and understood as "idqan, an older (Proto-Tur-kic) 2. p. plural imperative form in \*-qan of the verb id- \*to send\*;
- 4 the sixth, seventh and eighth signs represent \*boquγίγ, the accusative form of the title \*boquγ meaning \*leader\*;
- 5 finally, the last two signs transcribe \*tuqtan which is the metathetical form of an original \*tutgan, i.e., the older imperative form in -qan of the verb tut- "to capture".

Prof. Gabain who reviewed Bazin's interpretation criticised his views as follows<sup>5</sup>:

1 According to the Chinese translation, not only the first sign, but the first two signs mean

"army";

- 2 The suffix -ti forming nouns from verbs is a comparatively new suffix in Turkic;
- 3 According to the Chinese translation, not only the fourth and fifth signs, but the third, fourth and fifth signs mean "to go out";
- 4 The older form of the Old Turkic 2. p. plural imperative suffix -th/-ah could not be \*-qah;
- 5 Bazin's interpretation of the second line as \*boquyiy tutgan is based on the assumption that here we have two metathetical forms (i.e., -yi instead of -ly, and \*tuqtan instead of tutqan) which is unlikely.

After criticising Bazin in this way, Prof. Gabain herself made an attempt to interpret the Hunnic couplet. Gabain's interpretation is, "mit starkem Zweifel am letzten Wort", as follows:

sārig tillītqaā "Du wirst das Heer herausführen".

buyuy kötürkän "du wirst den 'Hirsch' entführen".

As is seen, Gabain reads the first two signs meaning "army" as "sārig. She maintains that this could be a dialect form of Old Turkic čārig. In other words, she believes that a sound change  $\dot{c} > s$ - similar to the change in Sagay and Koibal might have taken place in this particular dialect of Hunnic. It goes without saying that such a view can hardly be accepted; for the sound change  $\dot{c} - > s$ - in Khakas (and Bashkir) is only a recent development in Turkic. To think that the same change might have occured also in Proto-Turkic times would be anachronistic.

Gabain accepts Ramstedt's interpretation of the third, fourth and fifth signs with the only difference that she sees here a verbal stem "tilit-, i.e., the causative form of a hypothetical "tilit-, instead of Ramstedt's "taliq- or "tiliq- (= OT tašiq-). She thinks that what we have here is not an intensive stem in -q- as in OT tašiq- (< taš+1-q-), but a causative stem in -t- as in "tašit-(<taš+1-"herausgehen"). It should be said that this is not very convincing, because OT tašiq- is a direct derivative in +iq- derived from the noun taš "outside, exterior", but not an intensive stem in -q- derived from a hypothetical "taš+1-.

Finally, Gabain's interpretation of the eighth, ninth and tenth signs as \*kötükrän, i.e., a metathetical form of an original \*kötürkän "du

<sup>4)</sup> Louis Bazin, "Un texte proto-ture du IV" siècle : le distique Hiong-nou du "Tsin-chou", Orious, 1 (1948), pp. 208-219.

<sup>5)</sup> Annemarie von Gabain, Besprechungen (review of Bazin), Der Islam, 29 (1950), pp. 244-246.

wirst entführen" is indeed very doubtful, as she herself admits. In connection with this, it must be emphasized that OT kotar, means "to raise lift", not "to capture, seize".

Benzing who took the Hunnic text in hand after Gabain did not attempt to read and interpret it, but he contented himself with summarizing Ramstedt's, Bazin's and Gabain's readings and interpretations. Nevertheless, Benzing could not himself take away from stating that the two words of the Hunnic text could be identified rather safely: r'uk-tông clearly represents \*tuqta- \*festhalten\* which is identical with Mo. toqta- "anhalten" and OT tut- "halten" (for the sound correspondence, cf. Mo. aqto "Wallach" = OT at "Pferd"); siôg (tieg?) might belong to OT su "army", but whether the text is in Turkic, or Mongolian, or Tungus could be understood only after an elegant interpretation of the remaining words3.

Benzing's reading of the signs t'uk-tang as \*tuqta- and his equating this verb with Mo. toq-ta- (better toyta-) and Turkic tut- is very interesting. This view of Benzing, however, has been criticised rather severely by Clauson\*. According to Clauson, "Mo. toqta- means not 'to grasp' but "to be immobile, fixed, still, permanent; to decide, settle a matter'. Furthermore, Clauson claimed that the equation Mo. aqta "golding" = Trk. at "horse" could not be correct, since "Mo. aqta is not old Mongolian at all, it is a 13th century loan word, from Persian axta, the Past Passive Participle of axtan 'to gold'".

The Hunnic couplet in Chin-shu has recently been touched by Ligeti, Pulleyblank and Doerfer. As is known, Ligeti, the eminent Hungarian scholar, has always been sceptical about the Hsiung-nus being the ancestors of Turks. He rather believed that the Hsiung-nu were the ancestors of Kets or Yenisei Ostyaks. Consequently, he stated that the so-called Hunnic text in Chinshu was not in Turkic or Altaic, but it was in the Ho language as understood clearly from the passage.

Pulleyblank who has recently dealt with the problem of the identification of the Hsiung-nu and their language also touched the problem of the language of the so-called "Hsiung-nu couplet". On the several attempts made to interpret this couplet Pulleyblank commented as follows:

On the supposition that the Hsiung-nu spoke Turkish a number of attempts have been made to interpret the couplet in terms of Turkish (in recent times we may note the attempts of Ramstedt 1922, Bazin 1948, and Gabain 1949). None of these interpretations can be considered very successful since all do more or less violence to the phonetic values of the Chinese characters and to the explanation given in the accompanying Chinese text<sup>11</sup>.

He then gave the ancient pronunciations of the Chinese characters used in the transcription of the Hsiung-nu couplet. Pullcyblank's reconstruction of the Hsiung-nu text is as follows:

Pulleyblank did not attempt to add to the list of suggested reconstructions, at least for the present. But he nevertheless remarked that -h was a common verbal ending in Yenisscian, especially in Kottish, thus implying that the Hsiung-nu of the Chinese sources spoke a language of the Yenissci family, i.e., not early Turkic or any form of Altaic.

In the same year, Doerfer, after reproducing Ramstedt's, Bazin's and Gabain's interpretations which differ greatly from one another, ironically claimed that the so-called Hunnic text could even be read and interpreted in terms of Akkadian (and he actually did this)<sup>12</sup>. Most re-

<sup>6)</sup> Johannes Benzing, "Das Hunnische, Donaubolgarische und Wolgabolgarische", Fundamenta 1 (1959), pp. 685-695.

<sup>7)</sup> J. Benzing, op. cit., p. 687.

<sup>8)</sup> Gerard Clauson, "Turk, Mongol, Tungus". Asia Major, VIII (1962). p. 107. note 2.

<sup>9)</sup> L. Ligeti, "A propos des éléments 'altaïques' de la langue hongroise". Acta Linguistica, XI (1960), p. 23.

<sup>10) 8.</sup> G. Pulleyblank, "The consonantal system of Old Chinese: Part II. Appendix: The Hsiung-nu Language". Asia Major. IX (1963), pp. 239-265.

<sup>11)</sup> Pulleyblank, op. cit., p. 264.

<sup>12)</sup> Gerhard Deerfer, Türkische und mongolische Elemente im Neupersischen, I (1963), p. 96.

cently, in his long article dealing with the language of the Huns, Doerfer has maintained the same negative and ironic attitude and claimed that the so-called Hunnic text might even be read in the Eskimo language!<sup>13</sup>

After this rather long introduction, I now would like to offer my interpretation of the Hunnic couplet in Chin-shu dating from the 4th century. As will be seen, my interpretation is mostly identical with that of Ramstedt (the first line and the last word of the second line). I also partly agree with Bazin on his interpretation of the rival Hsiung-nu leader's title. Here is my interpretation:

Karlgren sidg tieg, Pulleyblank süx  $k\bar{\epsilon}h$  "army". The first sign is in all probability nothing but the Old Turkic word for "army", i.e., sti as Ramstedt, Bazin and Benzing rightfully assumed. The diphthong io in Karlgren's reconstruction and the long  $\bar{u}$  in Pulleyblank's transcription suggest that OT sa had a long  $\bar{u}$ . As a matter of fact, OT sa is spelt saa in some Uighur texts. Therefore it is very probable that this word had a long  $\bar{u}$ .

As for the second sign, Kalgren's and Pulley-blank's reconstructions of this sign are quite different from one another. If Pulleyblank's reconstruction is correct we may then assume that the first two signs meaning "army" stand for an original  $*s\bar{u}ka$ , i.e., the dative form of OT  $s\bar{u}$ . The reconstruction of the first two signs as  $*s\bar{u}-k\bar{u}$  "to the army (on campaign)" fits the reconstruction of the following three signs (see below).

2 \$\forall \times \tin \times \times \times \times \times \times \times \times \times

(or \*tiliqan) \*Go out against the army (on campaign) !\*.

Here it should be reminded that OT  $s\bar{u}$  "army" was also used with the meaning "an army (on a campaign)", or directly "fight, battle, war". Observe the following example: q(a)n  $s\bar{u}k\bar{u}$   $b(a)rm\bar{v}$   $y(a)\gamma\bar{v}$   $y(a)n\bar{v}$   $y(a)n\bar{v}$  "A khan went to the army (i.e., in war) and routed the enemy" (Irk Bitig, XXXIV).

3 僕 各 Karlgren b'uok kuk, Pullcyblank buk-kok/(g) 85k "Liu Yao's rank".

Ramstedt assumed that the underlying word here could be OT būgū "wise". Gabain thought that OT buyu "male deer, stag" plus the accusative suffix -y i.e., buyuy would make a better reconstruction for the signs b'uok-kuk.

Bazin who read this title "boquy put forward an entirely different theory. According to him, this title which occurs together with the OT title rutuq "military governor" in the Bilga Kagan inscription (southern side, line 10) could be the prototype of the Old Ottoman title boy "commander": "boquy > "boyuy > "bo'uy > "bōy > boy14.

Putting aside the discussion of the possibility of such a phonetic development for the time being, it should be noted that the signs b'uokkuk in the Hsiung-nu couplet might have been underlying an old title like \*boquq or bōquq, a form which actually occurs in the sources related to Old Turkic: , boquq xan in Juwaini, buyuy in the phrase tāħrikāħ uyyur buyuy xan in Thomsenfestschrift, Borur Qan in Chav.-Pell., JA 1913, 197 (Tūrkische Turfan-Texte II, p. 413).

Under the light of the discussion above, I read the first two signs of the second line as \*bo-quq or \*bōquq, thinking that only a form like \*bōq could be the prototype of Old Ottoman boy (cf. OT  $\bar{a}q >$  Old Ottoman  $a\gamma$ , Az.  $a\gamma$ , etc.). The element -uq in \*bōquq can best be explained as a diminutive or endearment suffix (cf. Uig.  $b\bar{g}\bar{u}k$  \*Motterchen\*).

4 动克書 Karigren giu t'uk tâng, Pulleyblank gōh-thok/Bok-tañ "capture".

The first sign can be reconstructed as \*-\gamma\_i, i.e., the Hunnic (Proto-Turkie) accusative suffix corresponding to the Old Turkic accusative suffix -(I)\gamma. As is known, the Old Turkic accusative

<sup>13)</sup> Gerhard Doerfer, "Zur Sprache der Hunnen", CAJ, XVII (1973), pp. 1-50 (his remarks on the Hunnic couplet : p. 4).

<sup>14)</sup> L. Bazin, op. cit., p. 211. For the pronunciation of Old Ottoman boy with for see Redhouse and Ş. Sami, and for its use synonymously with had "head, leader, chief" see Taroma Sözlüğü,

suffix  $-(i)\gamma$  goes back to an older \*- $\gamma i$  which corresponds to Written Mongolian accusative suffix  $-\gamma i$  going back to an older \*- $\gamma i/-gi$ . The suffix \*- $\gamma i/-gi$  with a short narrow vowel in final position could easily develop into a form like  $-\gamma/-g$  (i.e., a form which is identical with the Old Turkic accusative suffix  $-\gamma/-g$ ): baltq- $\gamma i$  / baltq- $i\gamma$  \*the city\*.

The last two signs of the second line, i.e., t'uk-tang in Karlgren's reconstruction, obviously stand for an original \*tuqtan corresponding to Old Turkic tutan. As is generally known, OT tuthas a dissylabic variant tuta- (cf. MK tut- "to seize, capture", but tutam "a handful" / tuta-m, tutast "continuously" / tuta-s-t, etc.). It is obvious

that Common Turkic tut- goes back to an older \*tuta-, and this, in its turn, probably to a still older \*tuqta- (cf. Turkic bat- \*to go down, sink\* = Mo. bayta- id.).

Thus, the second line of the Hunnic couplet in Chin-shu reads, in my opinion, as follows:

boquq -yl tuqtan "capture the Bokuk !"

My reconstruction of the whole couplet could then be given as follows:

sūkā talīqañ (or tīlīqañ), "Go out to the army (on campaign)
bōquqyî tuqtañ! (and) capture the Bokuk!"

T. T.